## Top-line Results from the GAIN Trial: A Phase 2/3 Study of Atuzaginstat in Mild to Moderate Alzheimer's Disease

Predecessor company presentation at AD/PD 2022



## Additional Data from the Phase 2/3 GAIN Trial of COR388 (Atuzaginstat) for the Treatment of Mild to Moderate Alzheimer's Disease

Michael Detke, MD, PhD<sup>1</sup>, Marwan Sabbagh, MD<sup>2</sup>, Mark Ryder, DMD<sup>3</sup>, Joanna Bolger<sup>1</sup>, Dave Hennings, PhD<sup>1</sup>, Vladimir Skljarevski, MD<sup>1</sup>, Shirin Kapur, PhD<sup>1</sup>, Debasish Raha, PhD<sup>1</sup>, Florian Ermini, PhD<sup>1</sup>, Mai Nguyen, PhD<sup>1</sup>, Ursula Haditsch, PhD<sup>1</sup>, Kim Perry, PhD<sup>4</sup>, Kelly Ritch, MS<sup>5</sup>, Suzanne Hendrix, PhD<sup>6</sup>, Sam Dickson, PhD<sup>6</sup>, Hatice Hasturk, DDS, PhD<sup>7</sup>, Sarah Horine, MS<sup>1</sup>, Craig Mallinckrodt, PhD<sup>1</sup>, Leslie J. Holsinger, PhD<sup>1</sup>, Casey Lynch<sup>1</sup>, Stephen Dominy, MD<sup>1</sup>

 (1) Cortexyme, South San Francisco, CA (USA);
(2) Barrow Neurological Institute, Dignity Health/St. Joseph's Hospital and Medical Center, Phoenix, AZ (USA);
(3) UCSF, San Francisco, CA (USA);
(4) Innovative Analytics, Portage, MI (USA);
(5) Datafy Clinical R & D, Portage, MI (USA);
(6) Pentara Corporation, Millcreek, UT (USA);
(7) Forsyth Institute, Cambridge, MA (USA)

# AD/PD 2022

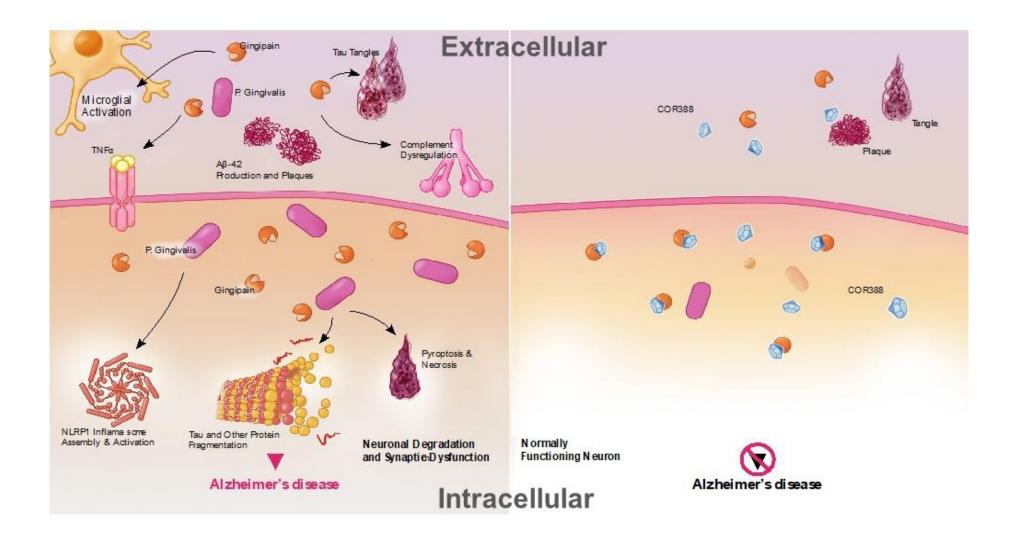
### **Disclaimer**

Certain information contained in this presentation and statements made orally during this presentation relate to or are based on studies, publications, surveys and other data obtained from third-party sources and Cortexyme's own internal estimates and research. While Cortexyme believes these third-party sources to be reliable as of the date of this presentation, it has not independently verified, and makes no representation as to the adequacy, fairness, accuracy or completeness of, any information obtained from third-party sources. While Cortexyme believes its internal research is reliable, such research has not been verified by any independent source. This presentation contains information that is highly confidential and/or privileged. The information is intended only for the use of individuals or entities to which it is addressed. If you are not the intended recipient, you are hereby notified that any reliance, disclosure, copying, distribution, or taking of any action on the contents of this material is strictly prohibited. This presentation contains forward-looking statements. Forward-looking statements are neither historical facts nor assurances of future performance. Instead, they are based on Cortexyme's current beliefs, expectations and assumptions regarding the future of its business, its future plans and strategies, its clinical results and other future conditions. All statements other than statements of historical facts contained in this presentation, including statements regarding future results of operations and financial position, business strategy, current and prospective markets or products, clinical activities, regulatory approvals, degree of market acceptance, and plans and objectives of management for future operations, are forwardlooking statements. The words "may," "will," "should," "expect," "plan," "anticipate," "could," "intend," "target," "project," "estimate," "believe," "predict," "potential" or "continue" or the negative of these terms or other similar expressions are intended to identify forward-looking statements, although not all forwardlooking statements contain these identifying words. The forward-looking statements in this presentation represent Cortexyme' views as of the date of this presentation. Although Cortexyme believes the expectations reflected in such forward-looking statements are reasonable, it can give no assurance that such expectations will prove to be correct. Accordingly, readers are cautioned not to place undue reliance on these forward-looking statements. Except as required by applicable law, Cortexyme do not plan to publicly update or revise any forward-looking statements contains herein, whether as a result of any new information, future events, changed circumstances or otherwise. No representations or warranties (expressed or implied) are made about the accuracy of any such forwardlooking statements. New risk factors and uncertainties may emerge from time to time, and it is not possible to predict all risk factors and uncertainties. There can be no assurance that the opportunity will meet an individual's investment objectives, or that the investor will receive a return of all or part of such investment. Investment results may vary significantly over any given time period. The appropriateness of a particular investment or strategy will depend on an investor's individual circumstances and objectives. Cortexyme recommends that investors independently evaluate specific investments and strategies.

Dr. Detke is a full-time employee of Cortexyme and holds equity in the form of stock options and restricted stock units.

- Extensive preclinical, epidemiological, clinical, and other data support the role of *P. gingivalis* (Pg) as an upstream driver of Alzheimer's Disease (AD) and as a potential new target for treating AD and other neurodegenerative diseases
- 2. GAIN Trial clinical results provided clinical confirmation of Pg as an upstream driver of Alzheimer's, and a new target for treating AD, with a 30-50% slowing of decline in mild-moderate AD patients with evidence of high Pg infection
- 3. NEW biomarker data and biomarker/clinical correlations presented today further support the role played by Pg as an upstream driver of AD pathology and atuzaginstat as an effective treatment
- 4. This presentation is the first of multiple presentations this year which are expected to include incremental analysis and biomarker data from the GAIN Trial

## *P. gingivalis* in Alzheimer's pathology and mechanism of action **CORTEXYME** of atuzaginstat (COR388)



### CORTEXYME

## Converging evidence for *P. gingivalis* as a novel driver of Alzheimer's

#### **Clinical Observational Studies**

- AD patients with greater periodontal disease decline 6pts on ADAS-Cog in 6mo vs 1pt in mild/non-periodontal patients
- GAIN study participants identified 90% with periodontal disease despite no entry criteria
- 6x increased risk of AD in spouses of AD patients, consistent with infection

#### **AD Brain Tissue Analysis**

- Pg and gingipains found in AD brain through IHC and sequence analysis (p<0.0001 vs age-matched controls)</li>
- Gingipain levels correlate with tau and ubiquitin, correlating with symptoms

#### Animal Models

- Oral *Pg* infection in wild-type mice and rats recreates AD pathology and behavior
- Atuzaginstat reverses Pg-induced AD pathology in mice

#### Published and/or replicated by independent 3<sup>rd</sup> parties

Collaborations with independent 3<sup>rd</sup> parties

#### Epidemiology

- Periodontal disease (Pg keystone cause) is a strong predictor of AD
- Serum Abs to perio pathogens are risk factor for AD
- Perio associates with higher brain amyloid

#### **Disease Pathology**

- Complement dysregulation by Pg
- Tau and ApoE cleavage by gingipains
- Compelling link to genetic risk: gingipain ApoE cleavage E4>E3>E4
- *Pg* infection induces brain p217tau, reversed by COR388 in mice

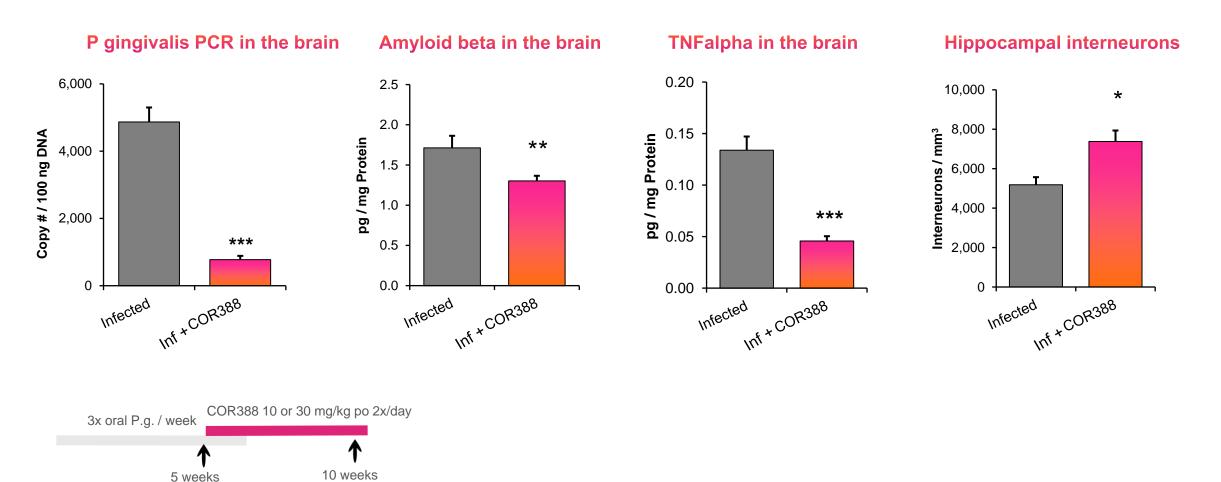
#### β-amyloid Antimicrobial Activity

Amyloid is an antimicrobial peptide, consistent with infection as a causal mechanism

#### Inflammation

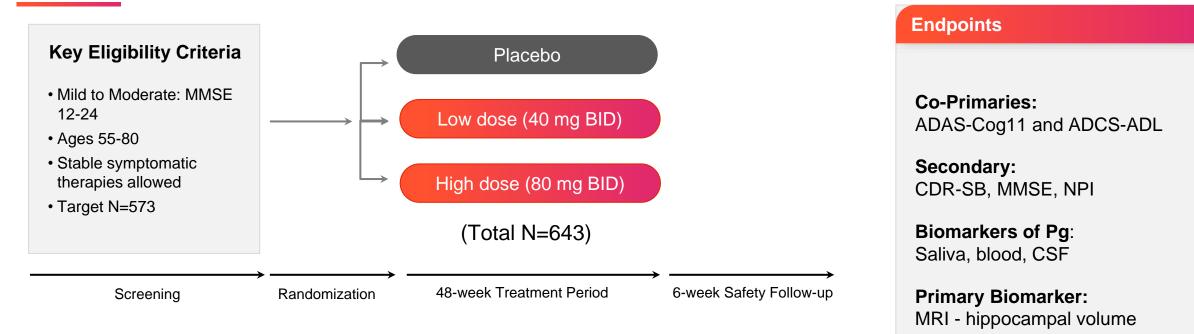
Microglial and inflammasome activation consistent with chronic low-grade infection, both activated by *Pg* 

## Pg oral infection in wild type mouse recreates extensive AD pathology – and atuzaginstat reverses



CORTEXYME

## Phase 2/3 GAIN Trial: Atuzaginstat in mild to moderate Alzheimer's disease



#### Timelines

- Enrollment initiated Apr. 2019; completed Sept. 2020
- Global study with >90 sites
- US, France, Spain, Poland, UK, and Netherlands



**Biomarkers of Alzheimer's:** 

CSF Aβ, tau, p-tau



## **GAIN** baseline demographics

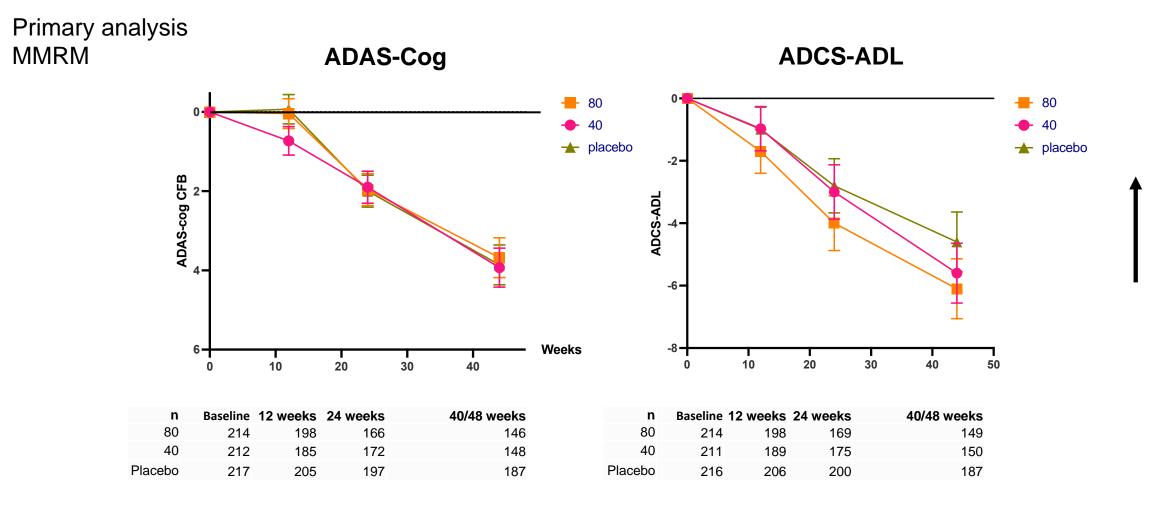
Parameter	Placebo	40 mg BID	80 mg BID
Mean Age at Informed Consent, years (SD)	69.5 (6.9)	68.6 (6.9)	69.3 (6.9)
Sex			
Male	92 (42%)	89 (42%)	97 (45%)
Female	125 (58%)	123 (58%)	117 (55%)
Race and Ethnicity			
Black or African American	17 (8%)	12 (6%)	13 (6%)
White, Hispanic or Latino	21 (10%)	16 (8%)	32 (15%)
White, Not Hispanic/Latino	171 (79%)	172 (81%)	162 (76%)
Other or Unknown	8 (4%)	12 (6%)	7 (3%)

Parameter	Placebo	40 mg BID	80 mg BID
MMSE			
Moderate >=12 to <=18	110 (51%)	107 (51%)	107 (50%)
Mild >=19 to <=24	107 (49%)	105 (50%)	107 (50%)
ApoE4 Carriers	140 (65%)	137 (65%)	137 (64%)
Non-Carriers	77 (36%)	75 (35%)	77 (36%)
ADAS-Cog Mean (SD)	23.9 (8.7)	23.5 (8.1)	23.7 (8.3)
ADCS-ADL Mean (SD)	60.4 (11.3)	60.0 (11.3)	59.9 (11.2)

Eight Black participants and one Other participant also identified as Hispanic/Latino.

Randomization was stratified by mild vs. moderate and ApoE4 carriers positive vs. negative.

## Overall co-primary endpoints in the intent-to-treat (ITT) population



Improvement is up on all scales





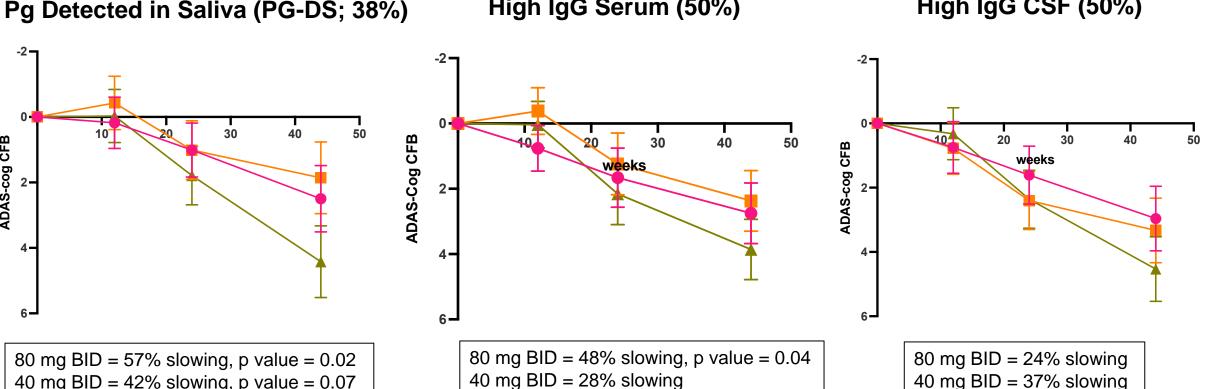
Analyses prespecified in GAIN statistical analysis plan as most likely to identify responders to atuzaginstat

Key goals of the study were to test which population(s) would be responsive and to test potential companion diagnostics

- The following were prespecified cohort analyses:
  - *P. gingivalis* DNA status (PG-DS) from oral rinse (Detected vs. Not) 38% detected
  - Anti- *P. gingivalis* antibody levels in serum (High vs Low) median split
  - Anti- P. gingivalis antibody levels in cerebrospinal fluid (High vs Low) median split
- Correlations between biomarkers of *P. gingivalis* infection with clinical endpoints were also prespecified

80 mg BID = 48% slowing, p value = 0.0440 mg BID = 28% slowing 40 mg BID = 42% slowing, p value = 0.07 All subgroups were balanced for ApoE4 carriers and average MMSE at baseline across arms.

#### 0. 10 30 40 50 ADAS-cog CFB 30 40 50 weeks



High IgG Serum (50%)

## Consistent effects in all 3 prespecified P. gingivalis infection cohorts on ADAS-Cog: MMRM analysis

High IgG CSF (50%)

80

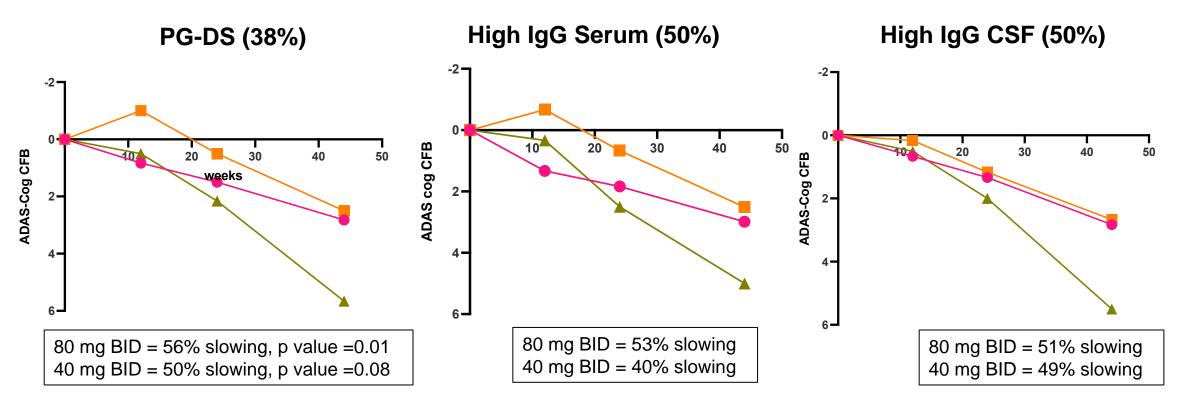
40

placebo



Consistent benefits of atuzaginstat in all 3 prespecified *P. gingivalis* infected cohorts on ADAS-Cog: Multiple imputation nonparametric analysis







Prespecified correlations between *P. gingivalis* DNA change in saliva at 24 weeks and clinical outcomes at both 24 and 48 weeks

	ADAS-Cog11	ADCS-ADL	CDR-SB	MMSE
Spearman's Rho (CFB to week 24)	.33	.09	.16	.17
P-value	<.0001	.26	.06	.04
Spearman's Rho (CFB to week 48)	.30	.16	.25	.23
P-value	.0005	.06	.004	.008

All correlations listed as positive indicate greater reduction in *Pg* is associated with better clinical outcomes, and increases in *Pg* are associated with worse clinical outcomes.

Analysis includes all three study arms and participants positive for Pg DNA in saliva at any point in the study.



## Safety summary: most common treatment-emergent adverse events (TEAEs)

	Placebo (n= 217 )	40 mg BID (n= 212 )	80 mg BID (n= 214 )	
Deaths*	0 (0.0%)	1 (0.5%)	5 (2.3%)	
SAE's	19 (8.8%)	20 (9.4%)	25 (11.7%)	
Any TEAE	147(67.7%)	170 (80.2%)	164 (76.6%)	
Diarrhea	7 (3.2%)	34 (16.0%)	27 (12.6%)	
ALT increased	4 (1.8%)	20 (9.4%)	37 (17.3%)	
AST increased	3 (1.4%)	20 (9.4%)	34 (15.9%)	
Urinary tract infection	21 (9.7%)	16 (7.5%)	28 (13.1%)	
Lipase increased	11 (5.1%)	13 (6.1%)	20 (9.3%)	
Headache	14 (6.5%)	18 (8.5%)	15 (7.0%)	
Amylase increased	8 (3.7%)	12 (5.7%)	16 (7.5%)	
Nausea	4 (1.8%)	13 (6.1%)	13 (6.1%)	
Agitation	7 (3.2%)	9 (4.2%)	10 (4.7%)	
Decreased appetite	2 (0.9%)	9 (4.2%)	10 (4.7%)	
Fall	5 (2.3%)	7 (3.3%)	11 (5.1%)	
Abdominal pain	3 (1.4%)	7 (3.3%)	11 (5.1%)	
TEAEs potentially of interest with incidence lower than 5%:				
COVID-19	5 (2.3%)	7 (3.3%)	1 (0.5%)	

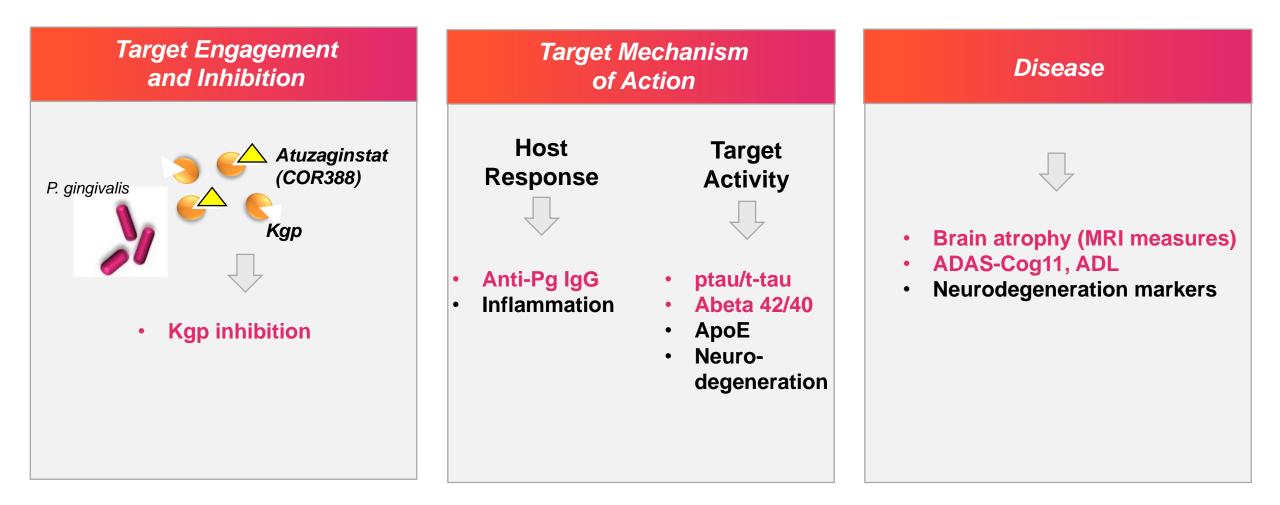
#### FINDINGS

- Overall, rates of SAE's are comparable to those seen in similar AD trials and are too few to draw firm conclusions.
- Most common treatment-associated AE is diarrhea.
- Virtually all cases of laboratory abnormalities were not clinically significant and asymptomatic, but there were 2 cases of Hy's Law in the 80 mg BID treatment arm.
- Rates of AEs in the PG-DS subgroup were comparable to or lower than those in the overall cohort.
- No increase in ARIA or brain SAE's

\*Deaths were determined as not related to study drug by investigator: COVID-19 (40 mg BID), Worsening AD\* (2), presumed cardiac arrest, urosepsis\*, lung cancer: 2 occurred outside the treatment period\*

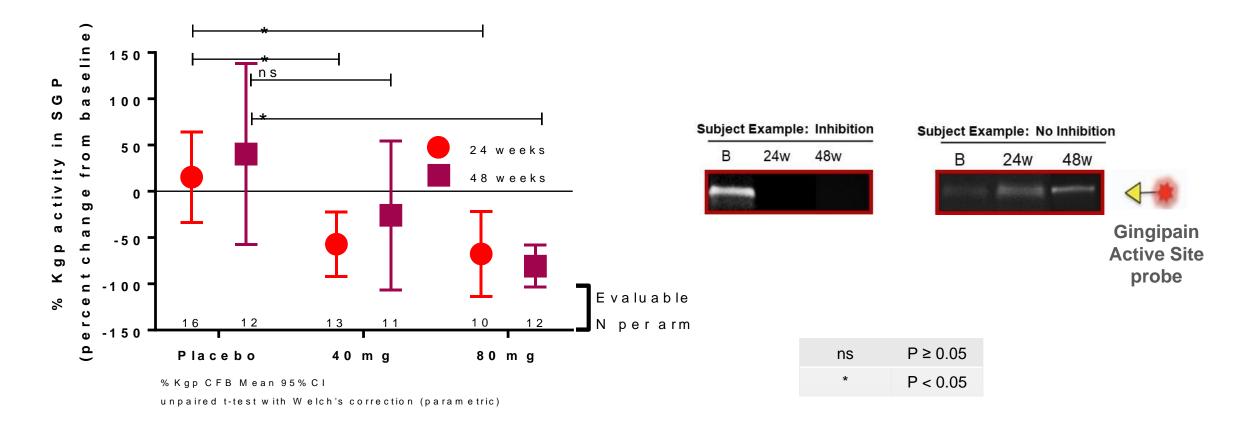


## GAIN biomarker analysis – New data March 2022





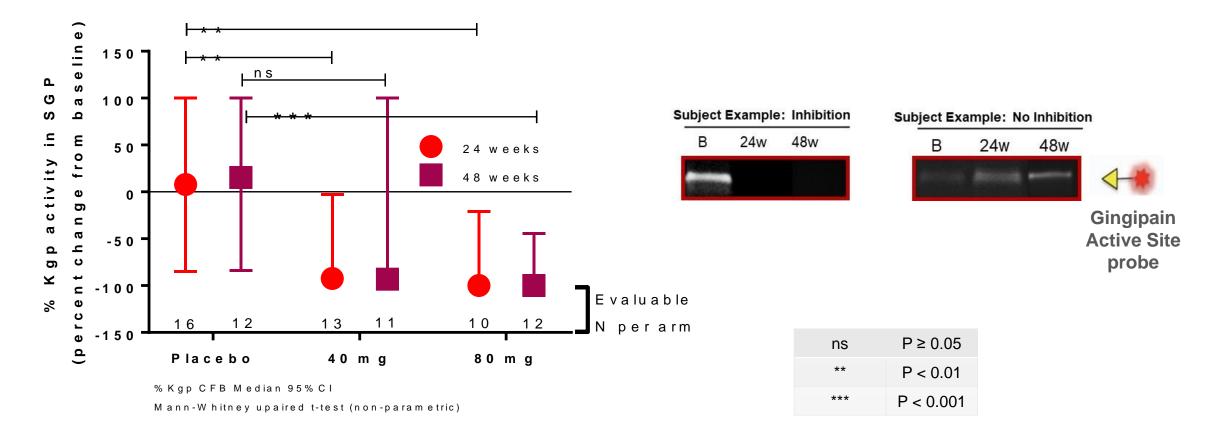
## Kgp target engagement and inhibition – New data March 2022



Analysis of subgingival plaque (SGP) in a subset of subjects demonstrates atuzaginstat target engagement and inhibition of Kgp



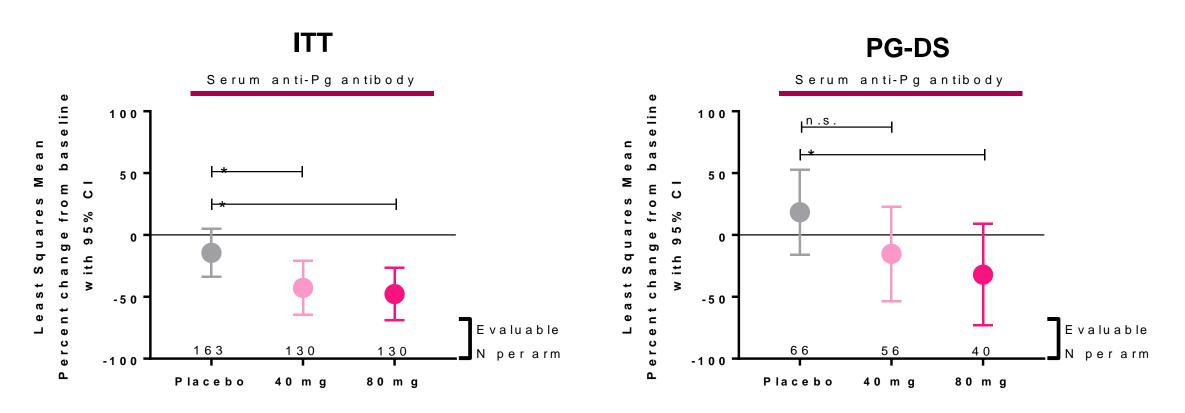
## Kgp target engagement and inhibition – New data March 2022



Analysis of subgingival plaque (SGP) in a subset of subjects demonstrates atuzaginstat target engagement and inhibition of Kgp



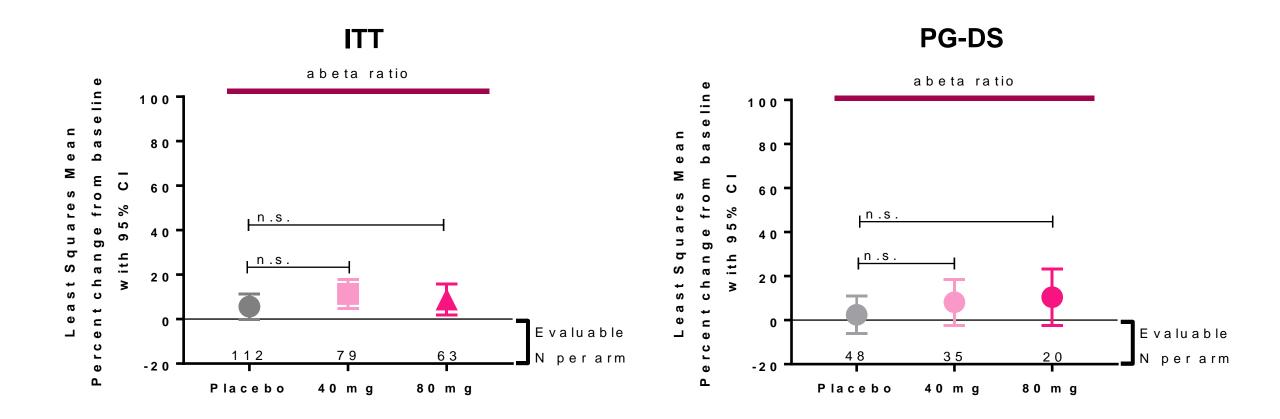
### Serum biomarker: anti-Pg antibody – New data March 2022



ns	P ≥ 0.05
*	P < 0.05



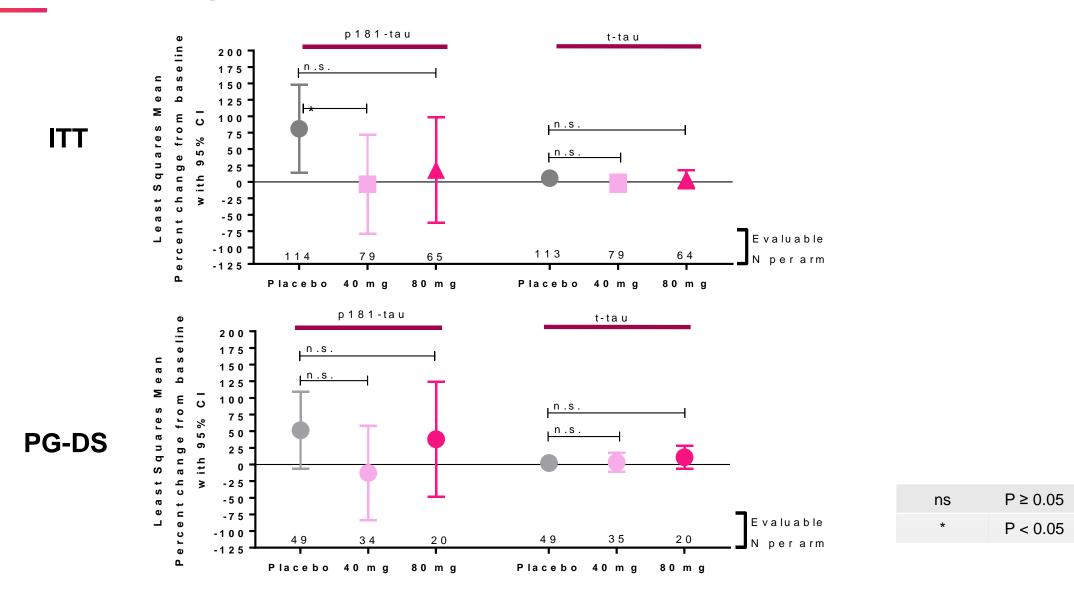
## CSF biomarker: Abeta 42/40 ratio – New data March 2022



ns P≥0.05

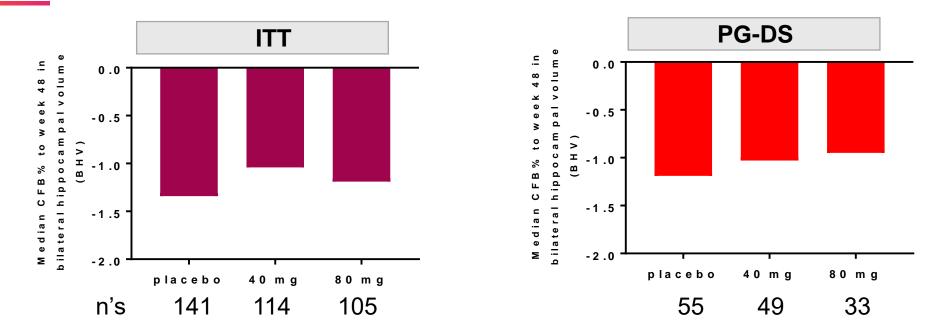


## CSF biomarkers: p181-tau and t-tau – New data March 2022





### Bilateral hippocampal volume – New data March 2022



#### Correlations in BHV at Week 48 with Week 48 clinical outcomes

		ADAS-Cog11	ADCS-ADL
ITT	rho (CFB to week 48)	0.05	0.15
ITT	P-value	0.37	0.006
PG-DS P-value	rho (CFB to week 48)	-	-
	P-value	-	-



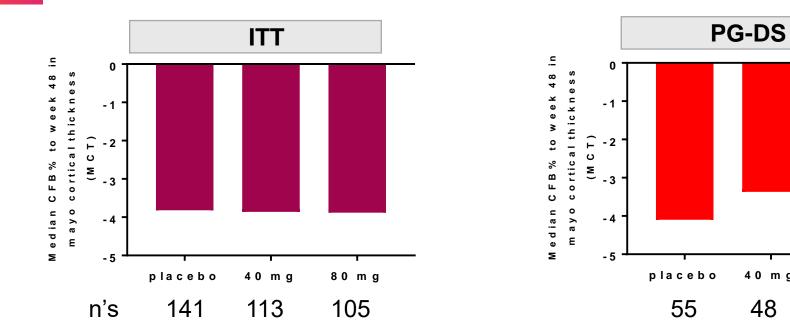
40 m g

48

80 m g

33

## Mayo cortical thickness – New data March 2022

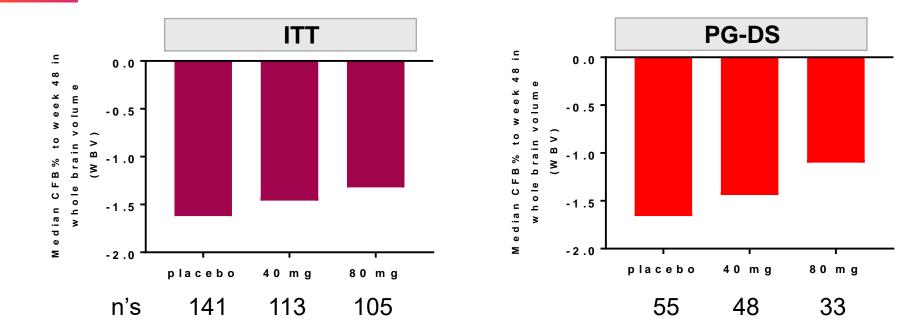


#### Correlations in MCT at Week 48 with Week 48 clinical outcomes

		ADAS-Cog11	ADCS-ADL
177	rho (CFB to week 48)	0.49	0.39
ITT	P-value	<0.0001	<0.0001
PG-DS	rho (CFB to week 48)	-	-
	P-value	-	-



## Whole brain volume – New data March 2022



#### Correlations in WBV at Week 48 with Week 48 clinical outcomes

		ADAS-Cog11	ADCS-ADL
ШТ	rho (CFB to week 48)	0.50	0.42
	P-value	<0.0001	<0.0001
PG-DS	rho (CFB to week 48)	0.58	0.44
	P-value	<0.0001	<0.0001

## Summary

- Extensive convergent data support the role of Pg as an upstream driver of AD prior to the GAIN Trial
- The clinical results of the GAIN Trial confirmed the Pg/gingipain hypothesis of Alzheimer's, showing 30-50% slowing of decline in patients with mild-moderate AD and markers of high Pg infection
- The overall weight of the evidence from the NEW biomarkers presented today reinforce the above findings:
  - Atuzaginstat showed evidence of direct target engagement (Kgp activity)
  - Atuzaginstat impacted multiple additional downstream biomarkers
  - All markers were at least numerically supportive of the mechanism of action
  - Biomarker clinical correlations were among the highest ever reported in the AD literature
- More to come with multiple presentations this year which are expected to include incremental analysis and biomarker data from the GAIN Trial

